

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

**(19) World Intellectual Property Organization
International Bureau**



A standard linear barcode is located at the bottom of the page, spanning most of the width.

(43) International Publication Date
27 December 2001 (27.12.2001)

PCT

(10) International Publication Number
WO 01/99063 A1

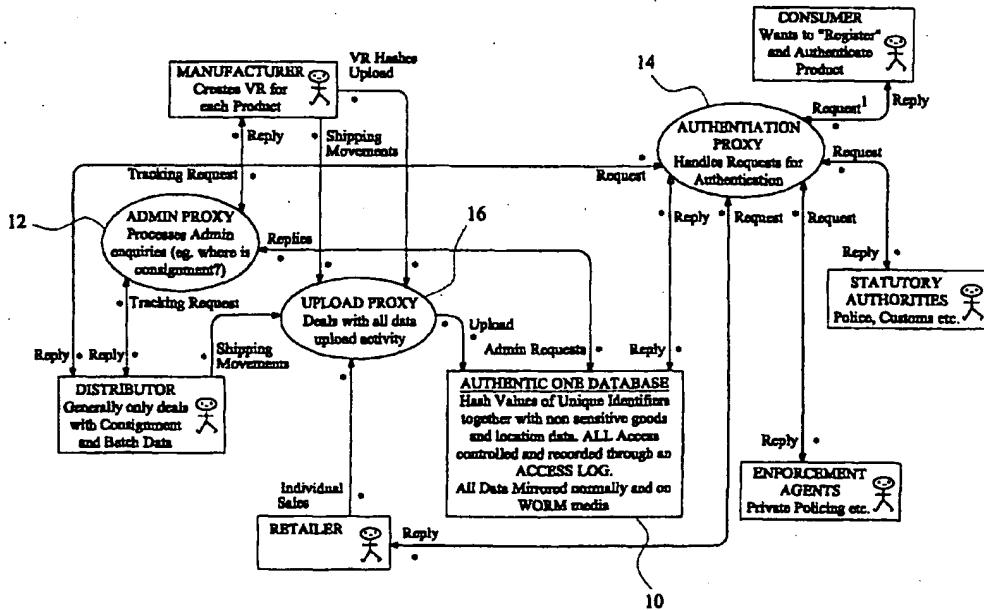
(51) International Patent Classification ⁷ :	G07F 7/08, D21H 21/40	(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
(21) International Application Number:	PCT/GB01/02772	
(22) International Filing Date:	21 June 2001 (21.06.2001)	
(25) Filing Language:	English	
(26) Publication Language:	English	
(30) Priority Data: 0015147.2	21 June 2000 (21.06.2000)	GB
(71) Applicants and		
(72) Inventors: ZORAB, James, Leigh [GB/GB]; Unit J2/J5, Gellihirion Industrial Estate, Pontypridd, Rhondda Cynon Taff CF37 5SX (GB). JACOBS, Michael [GB/GB]; Unit J2/J5, Gellihirion Industrial Estate, Pontypridd, Rhondda Cynon Taff CF37 5SX (GB).		
(74) Agent: STRACHAN, Victoria, Jane; Urquhart-Dykes & Lord, Alexandra House, 1 Alexandra Road, Swansea SA1 5ED (GB).		
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).		
Published:		
— with international search report		
— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments		

Published:

- with international search report
 - before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: REMOTE AUTHENTICATION SYSTEM



WO 01/99063 A1

WO 01 (57) **Abstract:** An authentication and/or tracking system for identifying, tracking, authenticating and/or otherwise checking the legitimacy of one or more items which include a coded identity tag or mark, the system comprising identification means for reading said coded identity tag or mark and identifying said one or more items, storage means for storing information relating to the location, whether actual or intended, origin and/or ownership of said one or more items, and means for displaying or otherwise providing or verifying said information relating to an item when its identity tag or mark has been read.

WO 01/99063 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

REMOTE AUTHENTICATION SYSTEM

This invention relates to a remote authentication database that could be used to allow monitoring and control of marked items which in turn facilitates product authentication and identification of said items.

- 5 This invention encompasses three novel aspects. First is a means of using unique identifiers on appropriate items in order to achieve a level of monitoring and control over such items that has not been possible previously. Second is a means of protecting the relevant data. Third is a coded fibre which
10 can be used to mark suitable products.

BACKGROUND TO THE INVENTION

Some problems which the system of the invention (hereafter just "system") alleviates include: counterfeit trading, product recall, parallel trading, shoplifting and many
15 other forms of theft.

Counterfeiting causes loss of profits to Brand Owners through loss of sales and loss of reputation. A major factor in dealing with the problem is that it is often very difficult for consumers to detect counterfeit items.

- 20 Faulty goods often need to be recalled. The problem here is that manufacturers can seldom trace where their goods are at the time they need to be recalled.

Theft in the form of shoplifting in particular is a well-recognised problem within all areas of commercial retail.
25 The problem here is the difficulty of discovering a) whether a thief is leaving the premises with stolen property and b) distinguishing between goods which have been paid for and those which have not.

Parallel Importing costs manufacturers by undercutting
30 the prices they set for a local market. It may also render them legally liable when goods intended for country "A" do not meet the legal standards set in country "B" where the price is higher and being undercut by parallel imports.

Inventory Control. Manufacturers do not know how much stock of their product remains unsold within the supply chain.

PRIOR ART

International patent application WO99/04364-A1, which 5 describes a method of verifying the authenticity of goods, includes generating one or more random codes and storing the one or more random codes in a database. The goods are then marked with one of the generated random codes such that each of the goods contains their own unique random code. A reading 10 and processing method is used to read the random code carried by a marked item and compare this code against those stored in the database. If the random code is found to be valid, the processing method can determine (from information held in a local database) whether or not that code has been read 15 previously on another marked item, thereby verifying that the item is authentic (or otherwise).

There are a number of problems and disadvantages associated with the above described arrangement. Firstly if, for example, a set of random codes were either duplicated, or 20 generated and obtained illegitimately, and applied to a batch of counterfeit goods, the codes would still be found by the processing method to be valid and, in many cases, not previously read, thereby verifying the authenticity of goods which are in fact counterfeit. This problem is exacerbated by 25 the difficulties inherently associated with updating a local database with, for example, all non-local sales of branded goods in real time.

Further, international patent application number WO99/04364-A1 describes a method of detecting diversion of 30 goods from a desired channel or channels of distribution. This method involves the generation of encrypted codes (each having a random portion and a non-random portion), which are applied to a batch of goods so that each item has its own unique encrypted code. The encryption of the codes is effected by an

encryption key, each encryption key being unique to a particular channel or channels. Subsequently, within a particular channel of distribution, the various goods are inspected and it is verified whether the decryption key used 5 on the code successfully reproduces the non-random portion which is uniquely dedicated for the channel distribution in question. Consequently, the method identifies whether a diversion of goods has occurred if the decryption key does not match that used on the inspected goods.

10 In other words, if a channel should be using Public Key(PK) A and a product is intercepted with a PK code B mark on it, the use of the wrong PK indicates that the product has been diverted from its proper distribution channel. This makes it necessary to store a large number of PK's in the supply 15 chain's computers.

Further, the requirement for treating different channels of distribution separately makes the scheme unnecessarily expensive to implement, and each implementation must be tailored separately. In addition, the reliance of 20 decryption at the retail end in particular implies the need for special readers or dedicated local computer technology, which takes the adoption of the proposed scheme relatively expensive.

The scheme described in international patent application number WO99/04364-A1, may include a tracking or 25 similar function which may be implemented by including in the non-random portion a secret encrypted portion containing tracking information. The codes may subsequently be decoded to determine tracking information, such as whether a tax has been paid.

30

There are, however, a number of disadvantages associated with this. Using the tobacco industry's requirements as an example. The government would have to create a large number of codes, keep them secure and issue them 35 in advance of such payment, not to manufacturers (who might be

in a position to exert true security), but to those who have to pay duty (at the point of sale). This results in several weaknesses. Firstly, there are tens of thousands of retail outlets that would have to acquire the relevant equipment to 5 adopt this scheme, and each of these outlets would have to be supplied with sufficient unforgeable codes to apply to the goods (they cannot be pre-applied because, until bought, no tax has been paid). Secondly, the routine sales areas must therefore adopt security measures which are likely to be 10 extremely unrealistic. Thirdly, consider the case where France, Israel and South Africa (for example) want to adopt the scheme; this poses the problem of whose code to use to prove that the correct tax has been paid. Finally, the prior art proposal requires a huge number of different codes to be 15 created in order to deal with different purposes.

International patent application WO99/04364-A1 mentions the use of one-way hash functions, but still requires the use of combination codes and PK's, whereas in the present invention no further form of encryption is required.

20 Further, in WO99/04364-A1, a "hash" message is reconstructed by using a readable field until a match is found. However, this is quite time consuming and laborious. In a preferred aspect of the present invention, there is included a database in which is stored the original codes alongside 25 their "hash" values. This "field" can be indexed so that the matching of "hash" values is substantially instantaneous (less than one second in over a billion records), just as it would be if one were searching for the original code.

SUMMARY OF THE INVENTION

30 In accordance with a first aspect of the present invention, there is provided an authentication and/or tracking system for identifying, tracking, authenticating and/or

otherwise checking the legitimacy of one or more items which include a coded identity tag or mark, the system comprising identification means for reading said coded identity tag or mark and identifying said one or more items, storage means for 5 storing information relating to the location, whether actual or intended, origin, and/or ownership of said one or more items, and means for displaying or otherwise providing or verifying said information.

The first aspect of the present invention also extends 10 to a method of identifying, tracking, authenticating and/or otherwise checking the legitimacy of one or more items which include a coded identity tag or mark, comprising the steps of reading said identity tag or mark and identifying said one or more items, storing information relating to the location, 15 whether actual or intended, origin and/or ownership of said one or more items, and displaying or otherwise providing or verifying the information relating to an item when its identity tag or mark has been read. This coded identity tag or mark can amongst others be in the form of a simple printed validation 20 reference (VR) which could be represented by a bar code, bar coded tear-tape or security thread, radio frequency tag or ink (visual, fluorescent or magnetic), optical device such as a hologram or digitally printed device, organic chemical (such as a DNA tag) or inorganic chemical or complex printed image.

25 Products which manufacturers need to protect from the above problems are provided with a unique identifier which is securely stored and subsequently traceable using a publicly accessible central authentication database. This unique reference is referred to within the system as a Validation 30 Reference, hereafter abbreviated VR.

The VR can be physically attached to the product in any way that is deemed suitable by the manufacturer.

The VR must be verifiably unique within the product type for the manufacturer.

All the VRs created by a manufacturer must be stored on a centrally and publicly accessible database. This database 5 must store basic despatch details in addition to the VR. It must also record the inquiries or authentication attempts made against each VR.

Various agencies and consumers will need to access this database. They will typically enter, into a computer based 10 form, the VR attached to the product and the system will inform them whether or not the VR has a match in the central database. A match indicates that the product is probably authentic, unless the VR has already been registered elsewhere. In addition, the time and place of authentication are also 15 considered. If a valid VR is in the wrong place or in the right place but at the wrong time, this indicates the probability of counterfeit.

It is essential that, until the marked goods are actively selling from retail sites, VRs are not accessible to 20 potential counterfeiters.

In the preferred embodiment of the system, the requirement to ensure that VRs are kept out of the hands of counterfeiters is met by a novel method of storing the valuable data. Instead of storing plaintext, the VR is converted to a 25 "message digest" using a "one-way "hash" function", subsequently referred to as a "hash". That digest is stored in place of the VR. Such digests are provably irreversible. The only method of decoding one is to generate sufficient random strings to ensure that a match is found. For the "hash" 30 function used in the preferred embodiment, this means that if 10,000 hashes were to come into the possession of a counterfeiter, he would need to create approximately 1.3×10^{27} strings to find a single match amongst the 10,000. This is

currently computationally infeasible in that by today's standards each such search would require some third of a million years processing time of the world's fastest computers.

As VRs in this form are substantially of no value to 5 the counterfeiter, the security problem of guarding the data on the central database is considerably reduced.

For many products that require protection, the database will provide the first step in tackling a case of counterfeit goods. It will identify that a problem exists. It will then be 10 necessary to prove, to the satisfaction of a court, that the product either is (and purports not to be) the genuine article or is counterfeit (but purports to be genuine). This may frequently require a forensic test. For products that don't include unique forensic markers the promoters of the invention 15 offer a coded fibre which can be deployed in a number of ways in order to provide the required evidence. It is described in detail in European Patent No. 0721529.

Having been thus marked and having stored the information relating to that mark, it becomes possible to 20 tackle the problems outlined above. In summary, one of the key differences between the present invention and the arrangement described in WO99/04364-A1 is that that prior art arrangement envisages "tracking" to be a passive function achieved by means of selective code generation whereby the code indicates the 25 prospective destination. In the present invention, the use of active tracking is much more flexible and universally applicable. Only one code is required wherever the goods are destined to arrive. The transit details are preferably stored separately in association with that code. Field checking and 30 preferably consumer registration is used to determine where goods are, and the database is used to determine whether or not that is where they should be.

If no VR exists either on a product that should display the code or a VR appears on a product but not within the

authentication database then the product cannot be legitimate.

If a VR exists but has already been registered as in the hands of a consumer, or other legitimate holder then either the registered product or the one being checked can reasonably 5 be assumed to be counterfeit. Forensic testing might then be required to establish which one is genuine. This is an example of where the coded fibre might be usefully deployed.

If a VR exists but is reported in the wrong place or at the wrong time then it can reasonably be assumed to be 10 counterfeit.

If a product carrying a VR is tracked going through the door of a retail outlet and it hasn't been paid for it can reasonably be assumed that it is being stolen.

To be effective, the VR must contain a unique element 15 that is verifiably not associated with any other similar item produced by the relevant manufacturer.

This is a simple matter for appropriate software. It does not matter if two unrelated items share a VR. The combination of their make, model and VR will still produce a 20 universally unique identifier

Preferably, the method used to attach the VR should be compatible with (i.e. readable by) the machine readers likely to be already in situ throughout the supply chain. This alleviates the huge expense of supplying a new infrastructure 25 to service the system. Provided this criterion is met, any method of labelling or attaching the VR which suits the manufacturer will be compatible with the system. Hereafter, all such means are referred to generically as "labels".

For consumer registration purposes it is currently 30 essential that the VR is readable by the consumer. This means it must appear visually in plaintext. Future developments may allow other options.

For asset tracking, anti-theft and some anti-counterfeiting purposes (where, for example, a forensic marker 35 is desirable), the mark may need to be covert and/or

structurally incorporated into the item.

If an item requires more than one of the above protections, it may well also require more than one tag. It may also use different data in each tag. For example, a pair of 5 Jeans may have a human readable label beneath a standard barcode for machine readability in order to facilitate the tracking and registration objectives. These may share the same code. Manufacturers may, in addition however, incorporate the aforementioned coded fibres into the fabric of the jeans at the 10 weaving stage. The codes used for this purpose could be unrelated to the previous codes and may, for example, only be readable under a microscope in a forensic laboratory engaged to verify authenticity.

In accordance with a second aspect of the invention, 15 there is provided a data management system for passing or identifying data between a first node and a second node, said first and second nodes independently having access (direct or otherwise) to a copy of said data, said first node having means for converting said data into a substantially irreversibly 20 encrypted code representative of said data and passing only said code (i.e. not said data) to said second node, said second node having means for identifying the data represented by said code.

The second aspect of the present invention also extends 25 to a method of data management for securely passing or identifying data between a first node and a second node, the method comprising the steps of providing independent access (direct or otherwise) to said data to each of said first and second nodes, converting at said first node said data into a 30 substantially irreversible encrypted code representative of said data, passing only said code (i.e. not said data) from said first node to said second node, and identifying at said second node the data represented by said code.

Thus, the second aspect of the present invention 35 provides a method and system whereby the functional

requirements of key data can be entirely fulfilled by coded replacements for the data, specifically by means of converting the key data into codes or digests using one-way encryption techniques, such as one-way hash functions or any other 5 (possibly future) algorithms which achieve substantially the same end (i.e. the creation of substantially irreversibly encrypted codes or digests representative of the key data, allowing for more secure handling of the data. It will be apparent that no decryption of the code is required at the 10 second node (because it has independent access to the data in its own right), simply recognition thereof.

Preferred unique identifiers will be designed to make it impossible for potential fraudsters to abuse the system. For example, by creating a key consisting of 20 random characters 15 representing any one of 256 ASCII (like) symbols, this makes possible a code with 20^{256} possible combinations - well beyond the ability of existing computer processing capacity to crack. Because such codes would include unprintable characters, they would, currently, be suitable for machine readability only.

20 For product registration, where the code needs to be retrieved visually, a code based on 20 of the 36 upper case unambiguous keyboard characters found on most European and American keyboards allows 36^{20} combinations. This is still considered to be considerably beyond present day computing 25 capacity.

To prevent errors on input, the preferred embodiment would incorporate a 25-character string incorporating 1 check character for each 4 random characters. This would be presented in 5 blocks of 5 characters - similar to popular modern 30 software license keys.

To allow remote interrogation of the database, so called "thin client" software will be distributed to allow consumers to enter the VRs with minimal errors. Their input will be converted to its corresponding "hash" values before 35 being passed to the central database for matching.

Thin client software will also be distributed to agencies such as Customs, Police (public and private) and key points in the supply chain. This version of the software will permit machine input and interrogation of data other than just 5 VRs.

Preferably, the VRs will be generated by the manufacturers only shortly before the labels are required. The labels will be printed, attached and scanned as the goods are packed into cartons. The Carton identifiers will be stored. 10 Cartons will be scanned as they are loaded onto pallets (or similar) and pallets will be scanned as they are loaded into consignments (etc). Relevant identifiers will be stored for however many packing stages are required.

When the consignment is ready to leave, the 15 manufacturer will use appropriate software to prepare a file containing one record per VR. Each such record will also contain the above identifiers. It will also, preferably contain the relevant order numbers, despatch date, source and destination. The file will be transmitted securely to the 20 central database.

Agents in the field who need to access the database can thus be informed, for example, which cartons should be in a consignment and which VRs should be in which cartons. Or whether a given VR should be in the consignment at all.

25 Agents will be provided with suitable means of secure access.

Authorised users in the supply chain could also use the system to confirm either that the products they are holding are legitimate or to monitor the progress of expected 30 deliveries. They will also be provided with the means to:

- (1) update the system database with information regarding any deliveries;
- (2) inform the system of their own consignments; and
- (3) when a delivery consignment is broken down into 2 or

more despatch consignments, to provide the system with relevant details of the split (i.e the contents of the new consignments) and the new consignment identities.

In order to protect the integrity of the database, in 5 its preferred form, in addition to normal storage on high speed storage and retrieval media, it will be simultaneously stored on unreadable media known in the art as WORM (Write Once Read Many) media. Both the WORM media and standard media will be duplicated across a number of predetermined locations.

10 Its access and update protocols will be designed to permit such access only by means of an Access log which records all details of requests for access and/or any data uploaded to the system and stores this data on WORM media before permitting the data to be recorded on standard media. No deletions will 15 be permitted and amendments will only be permitted in the form of corrective additions. The WORM media will thus provide a robust audit trail should anyone attempt to subvert the system. The contents of the Access log will be on permanent public view with standard non-disclosure rules to protect the identities 20 of those accessing the system.

The present invention provides a method and system that can be used to track products or items and can be used not only to verify the validity of a code or to check whether the code has been used before, but also to check whether the code is 25 being used at the right time and/or in the right place. Failure to meet any of these criteria identifies a potential counterfeit. As a spin off, monitoring to this degree provides the ability to identify parallel trading (where the goods in transit might well be legitimate and even legally transported, 30 but still in breach of contract or trading agreements), and to pinpoint wherever the goods are located in the supply chain, for the purposes of inventory control and product recall. Subject to technology allowing remote reading of the VRs, the

system also provides the basis for a powerful anti-theft mechanism.

Key preferred elements, among others, of the present invention are:

- 5 Storage of authentication data in a centrally accessible database;
- Storage of "hash" values (only) in place of the valuable components of that data;
- Indexing of the "hash" values to make the data easy to search;
- 10 Logging all access to the database in a publicly visible manner;
- Storing the access log on non amendable media;
- Storing the access log and data in multiple locations;
- 15 Allowing additions or amendments to the data only through the access log;
- Allowing detailed interrogation of the data only through the access log.

The system will be able to achieve its goals because it
20 is able to answer the fundamental question ("does this identity, exist?") without the need to know what the identity is.

BRIEF DESCRIPTION OF THE DRAWINGS

An embodiment of the invention will now be described by
25 way of example only with reference to the accompanying drawings, in which:

Figure 1 is a schematic flow diagram illustrating the operation of a product tracking system which makes use of and is an exemplary embodiment of the authentication aspect of the
30 invention;

Figure 2 is a schematic flow diagram of the consumer authentication operation of the system of Figure 1;

Figure 3 is a schematic flow diagram of the upload activity (by manufacturers) of the system of Figure 1;

Figure 4 is a schematic flow diagram of the non-consumer (Police, Customs etc) authentication operation of the 5 system of Figure 1;

Figure 5 is a schematic flow diagram of a movement inquiry operation of the system of Figure 1.

DETAILED DESCRIPTION OF THE INVENTION

Referring now in particular to Figure 1 of the 10 drawings, an exemplary embodiment of a product tracking system according to the invention comprises a central database (10) on which are stored the "hash" values of the identity tags together with product tracking data. The system includes means (12) for processing administrative enquiries, such as the 15 current location of a consignment of products. The system also includes means (14) for processing authentication enquiries, and means (16) for dealing with all data upload activity. These are standardised proxy procedures with which persons skilled in the art would be familiar.

20 The authentication process is shown in more detail in Figure 2. The consumer can send an authentication request to the system in many different ways, as discussed above. In one such method, in response to a request from the user, an on-screen form is provided for the consumer to complete details 25 such as the identity tag, product code if relevant, date and place of purchase. The system uses the form to prepare an authentication request and, if necessary, converts key data to a "hash" value. The data is then compared with data held in the database (10) which checks if the identity tag is 30 legitimate, if the product is in the correct location, and whether or not the product has been previously registered. If these enquiries produce the correct results, the product is

authenticated and the consumer can be informed accordingly. If not, a suitable alarm/report procedure, which may be determined by the manufacturer for example, is triggered.

The upload activity of the system is shown in more detail in Figure 3 of the drawings. A manufacturer has completed a production batch and it is ready for shipping. They need to upload the relevant data to the Authentication Database.

All the data has been prepared by appropriate software.

10 Each individual item will have been given its VR and the hashes of those VRs, together with, at least, the minimal data outlined above, will be transmitted by the software to the Authentication Database. Procedures will need to be in place to ensure that only authorised users can be allowed to perform

15 this task, but other than validate themselves to the system, the users will have little to do other than authorise the transfer. Everything else would be automated.

However, the uploading of data from a legitimate source is a particularly sensitive transaction. In the preferred embodiment of the system, therefore, it would be prudent to ensure that no upload can proceed until at least one other, preferably randomly selected, authorised user has been contacted and has confirmed the legitimacy of the upload.

The non-consumer authentication process operates in a very similar manner to the consumer authentication process and is shown in more detail in Figure 4 of the drawings. Similarly, the data upload activity used is very similar to that described with reference to Figure 3 of the drawings, and is shown in more detail in Figure 5.

30 Embodiments of the invention have been described herein by way of example only, and modifications and variations will be apparent to a person skilled in the art, without departing from the scope of the invention.

CLAIMS:

1. An authentication and/or tracking system for identifying, tracking, authenticating and/or otherwise checking the legitimacy of one or more items which include a coded identity tag or mark, the system comprising identification means for reading said coded identity tag or mark and identifying said one or more items, storage means for storing information relating to the location, whether actual or intended, origin and/or ownership of said one or more items, and means for displaying or otherwise providing or verifying said information relating to an item when its identity tag or mark has been read.
2. A data management system for passing or identifying data between a first node and a second node, said first and second nodes independently having access (direct or otherwise) to a copy of said data, said first node having means for converting said data into a substantially irreversibly encrypted code representative of said data and passing only said code (i.e. not said data) to said second node, said second node having means for identifying the data represented by said code.
3. A system according to claim 1, wherein said identity tag or mark is incorporated in or on said one or more items, and is preferably in the form of a coded fibre or filament.
4. A system according to claim 3, wherein said coded fibre or filament carries a barcode, which is optically readable by barcode reader or scanner.
5. A system according to claim 4, wherein said bar code is substantially at right angles to the length of said fibre or filament.

6. A system according to any one of claims 3 to 5, wherein said coded fibre or filament is incorporated into a label carried by the or each item.
7. A system according to claim 6, wherein said label is of paper or textile material.
8. A system according to any one of claims 3 to 5, wherein said coded fibre or filament is incorporated or blended into the material, for example paper, textile or plastics material, which forms at least part of the or each item.
- 10 9. A system according to any one of claims 3 to 8, wherein said coded fibre or filament comprises plastics material to or on which is applied a bar code.
10. A system according to claim 9, wherein said bar code is produced by printing with fluorescent ink.
- 15 11. A system according to claim 9 or claim 10, wherein said identity tag is produced by applying a bar code onto a plastics film across the effective width thereof and dividing the film substantially at right angles to the bar code into longitudinal filaments.
- 20 12. A system according to any one of claims 1 to 11, comprising means for applying substantially identical identity tags or marks in, on or to each of a plurality of items in a batch of items to be transported from a first location to a second location, means for allocating an identity code to be 25 associated with said batch, means for storing information associated with said identity code, said information relating to the location of said batch (whether actual, expected and/or intended), means at said second location for logging the arrival of said batch and means for allocating a unique

identity code to each of a plurality of smaller consignments of items derived from said batch, means for storing information associated with each of said unique identity codes, said information relating to the location of each of said 5 consignments (whether actual, expected and/or intended).

13. A system according to any one of claims 1 to 11, comprising means for applying different identity tags or marks in, on or to each of a plurality of items, and means for storing information relating to the departure of each of said 10 items from a first location and/or its arrival at one of a plurality of second locations.

14. A system according to claim 13, including means for identifying identity tags or marks on items leaving a predetermined area or location, means for identifying whether 15 said item is legitimately permitted to leave said area or location, and means for raising an alarm or warning if said item is not permitted to leave said area or location.

15. A system according to claim 13, including means for storing information relating to the contents of a batch of 20 items having different identity tags or marks applied thereon, information relating to the departure of said batch from a first location, information relating to the arrival of said batch at a second location, and information relating to the subsequent (actual or intended) destination of each of said 25 items.

16. A system according to any one of claims 1 to 15, wherein said means for identifying said identity tag or mark comprises means for converting information relating to a plurality of identity tags or marks into substantially unique codes using 30 a predetermined algorithm, means for storing said codes in a predetermined location, means for converting information

relating to an identity tag or mark which has been read into a code using said predefined algorithm, and means for comparing said code against said stored codes to determine whether said identity tag or mark is legitimate.

5 17. A system according to claim 16, wherein said codes comprise "hash" values or other irreversible message digests, preferably having 20 or more characters.

18. A system according to claim 16, or claim 17, wherein said information relating to the locations origin and/or ownership 10 of said one or more items, is stored on unreadable media (in addition to any storage on standard media) in a number of predetermined alternative locations, remote from said first predetermined location.

19. An authentication and/or tracking system for identifying, tracking, authenticating and/or otherwise checking the legitimacy of one or more items substantially as herein described with reference to the accompanying drawings.

20. A method of identifying, tracking, authenticating and/or otherwise checking the legitimacy of one or more items which 20 include an identity tag or mark, comprising the steps of reading said identity tag or mark and identifying said one or more items, storing information relating to the location, whether actual or intended, origin and/or ownership of said one or more items, and displaying or otherwise providing and/or 25 verifying the information relating to an item when its identity tag or mark has been read.

21. A method of applying substantially identical identity tags or marks in, on or to each of a plurality of items in a batch of items to be transported from a first location to a 30 second location, storing information relating to the departure

of said batch of items from said first location and the arrival of said batch of items at said second location, dividing said batch of items into a plurality of smaller consignments of one or more items to be transported or distributed from said second 5 location to one or more third locations, and storing information relating to the departure of said consignments from said second location and their arrival at said one or more third locations.

22. A method comprising the steps of applying different 10 identity tags or marks in, on or to each of a plurality of items, and storing information relating to the departure of each of said items from a first location and/or its arrival at one of a plurality of second locations.

23. A method according to claim 22, further comprising the 15 step of storing information relating to the contents of a batch of items having different identity tags or marks applied thereon, the departure of said batch from a first location, its arrival at a second location, and the subsequent (actual or intended) destination of each of said items in said batch.

20 24. A method according to any one of claims 21 to 23, wherein said step of identifying said identity tag or mark comprises the sub-steps of:

- i) converting information relating to a plurality of identity tags or marks into individual codes using a 25 predefined algorithm;
- ii) storing said codes in a first predetermined location;
- iii) converting information relating to an identity tag or mark which has been read into a code using said predefined algorithm; and
- 30 iv) comparing said code against said stored codes to determine whether said identity tag or mark is legitimate.

25. A method according to claim 32, wherein said codes comprise "hash" values or other irreversible message digests, preferably having 20 or more characters.

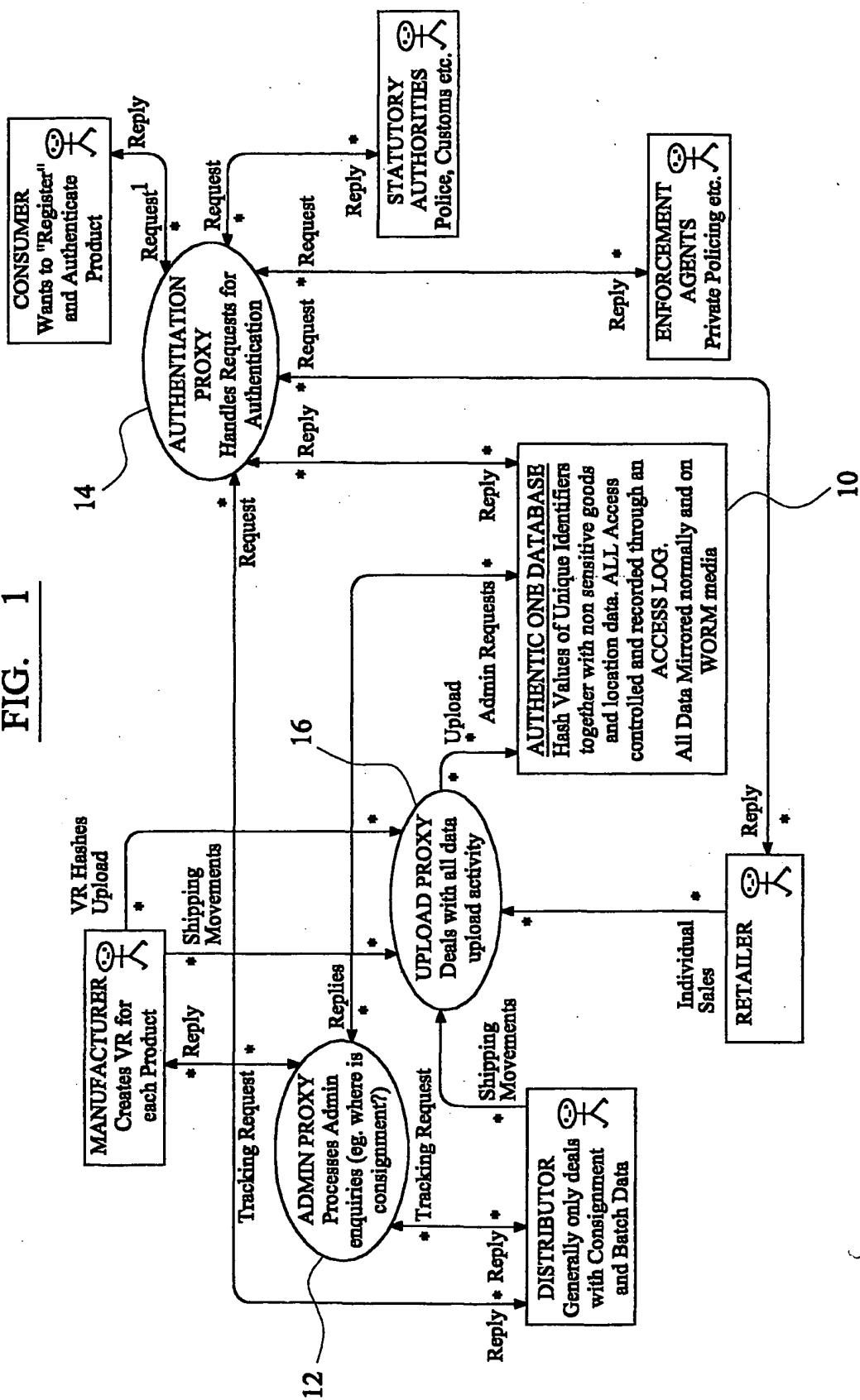
26. A method according to claim 24 or claim 25, wherein said information relating to the location, origin and/or ownership of said one or more items is stored in a number of other predetermined locations, remote from said first predetermined location and on unerasable media.

27. A method according to claim 22, comprising the steps of storing purchase information relating to items having said identity tags or marks applied thereon, means for identifying the identity tags or marks of items leaving a predetermined area, means for determining if said item has been purchased or is otherwise legitimately permitted to leave said area and if not, for raising an alarm or warning.

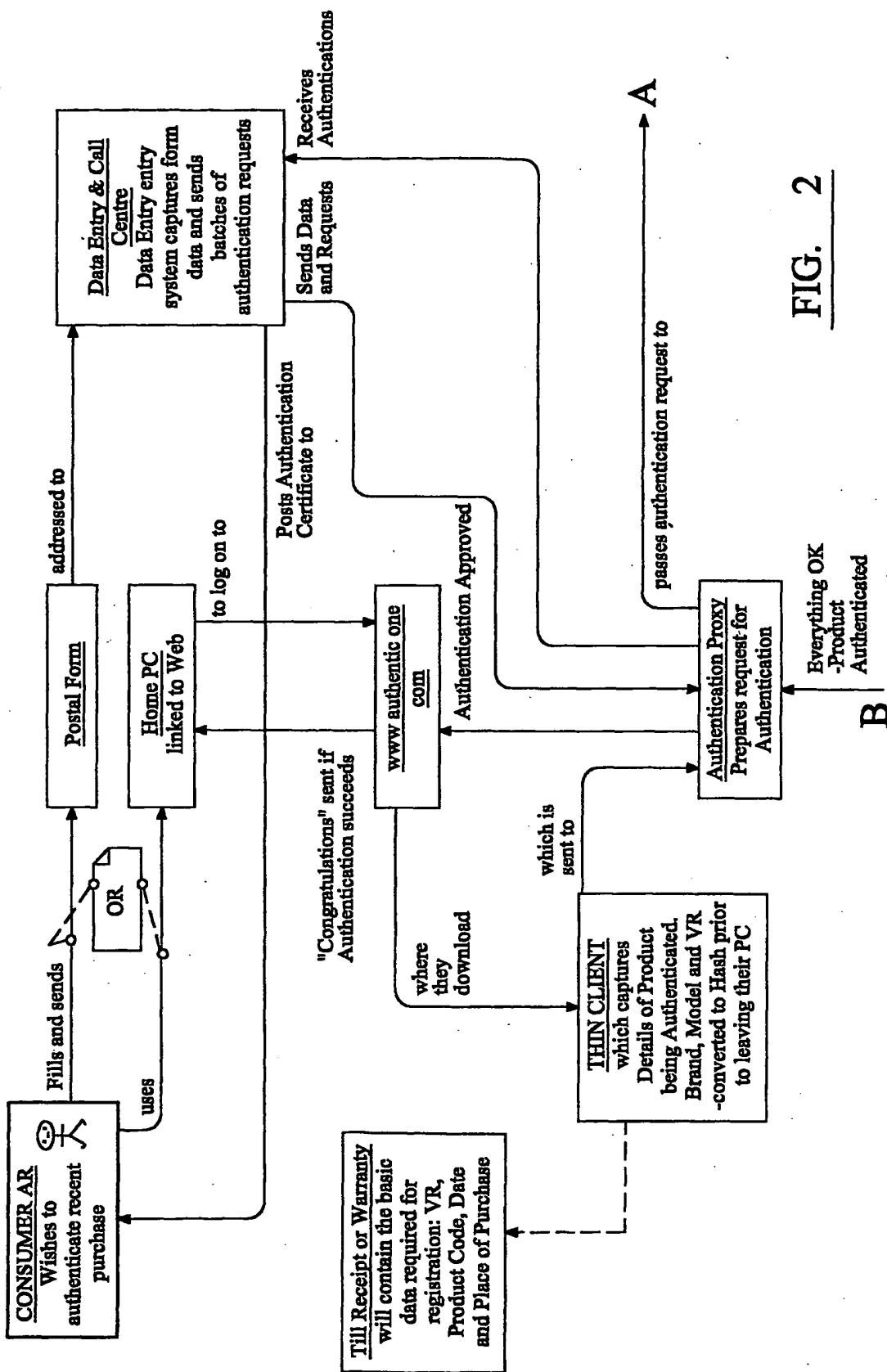
28. A method of identifying, tracking, authenticating and/or otherwise checking the legitimacy of one or more items, the method being substantially as herein described with reference to the accompanying drawings.

-1/9-

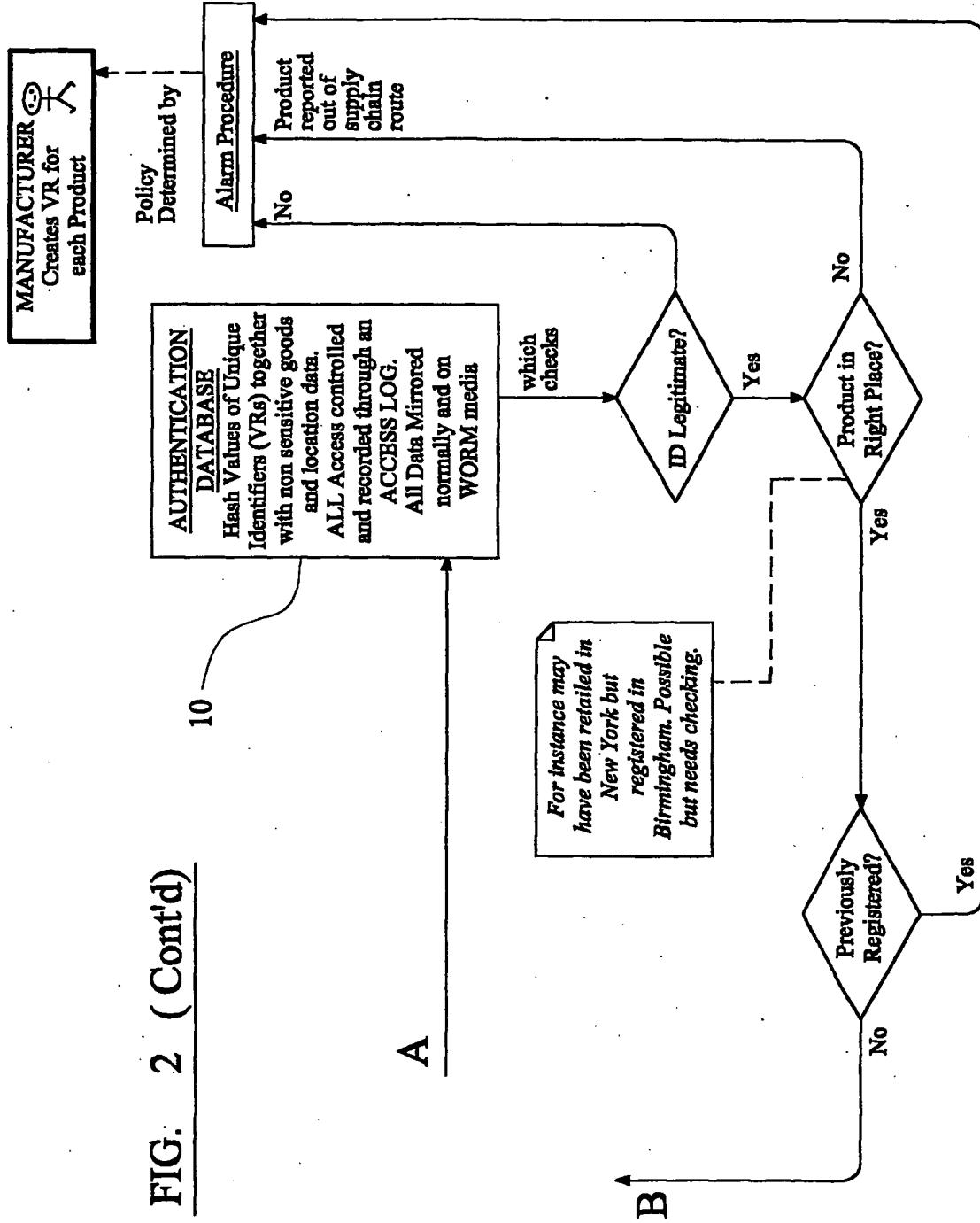
1 FIG.



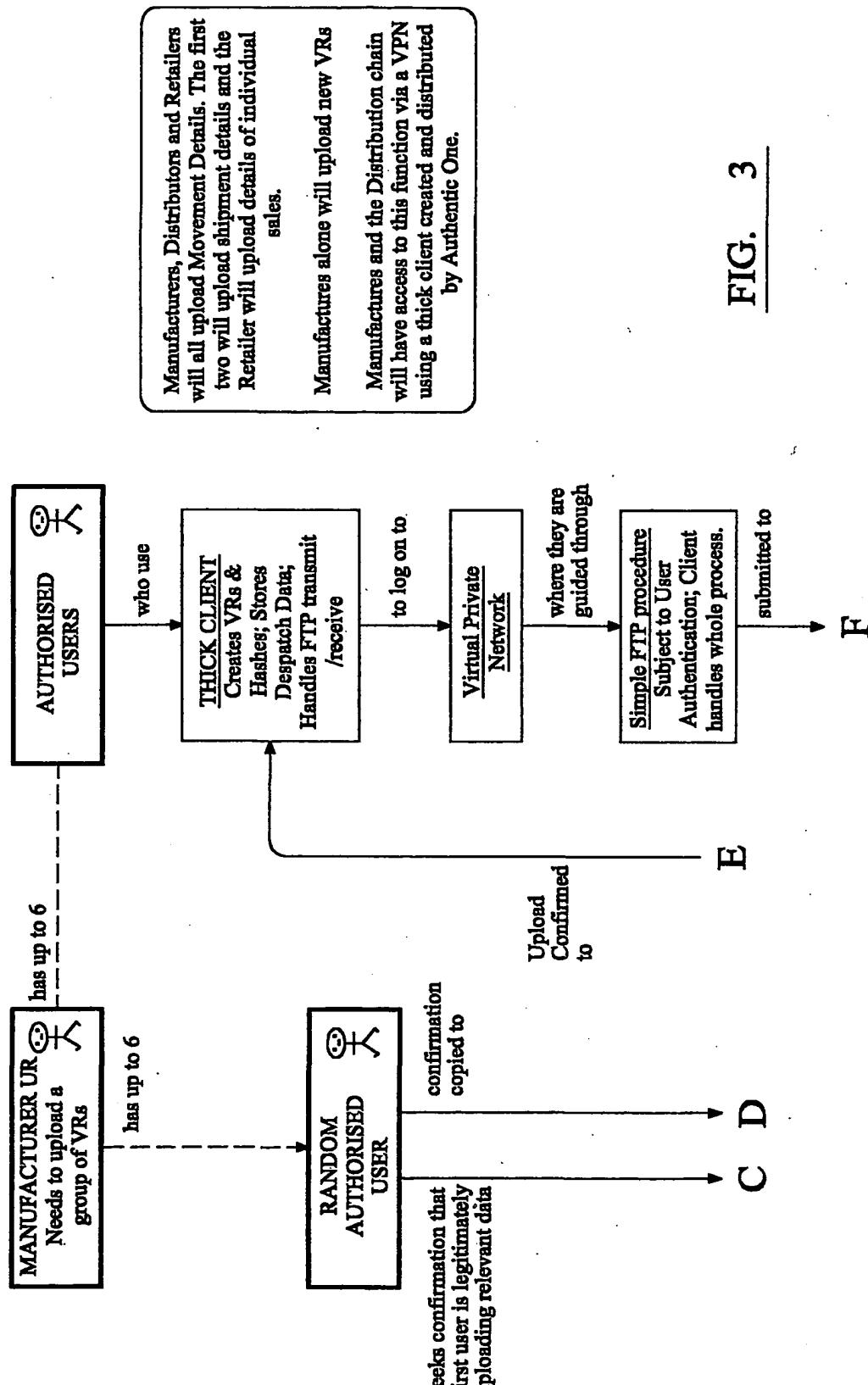
-2/9-



-3/9-



-4/9-



-5/9-

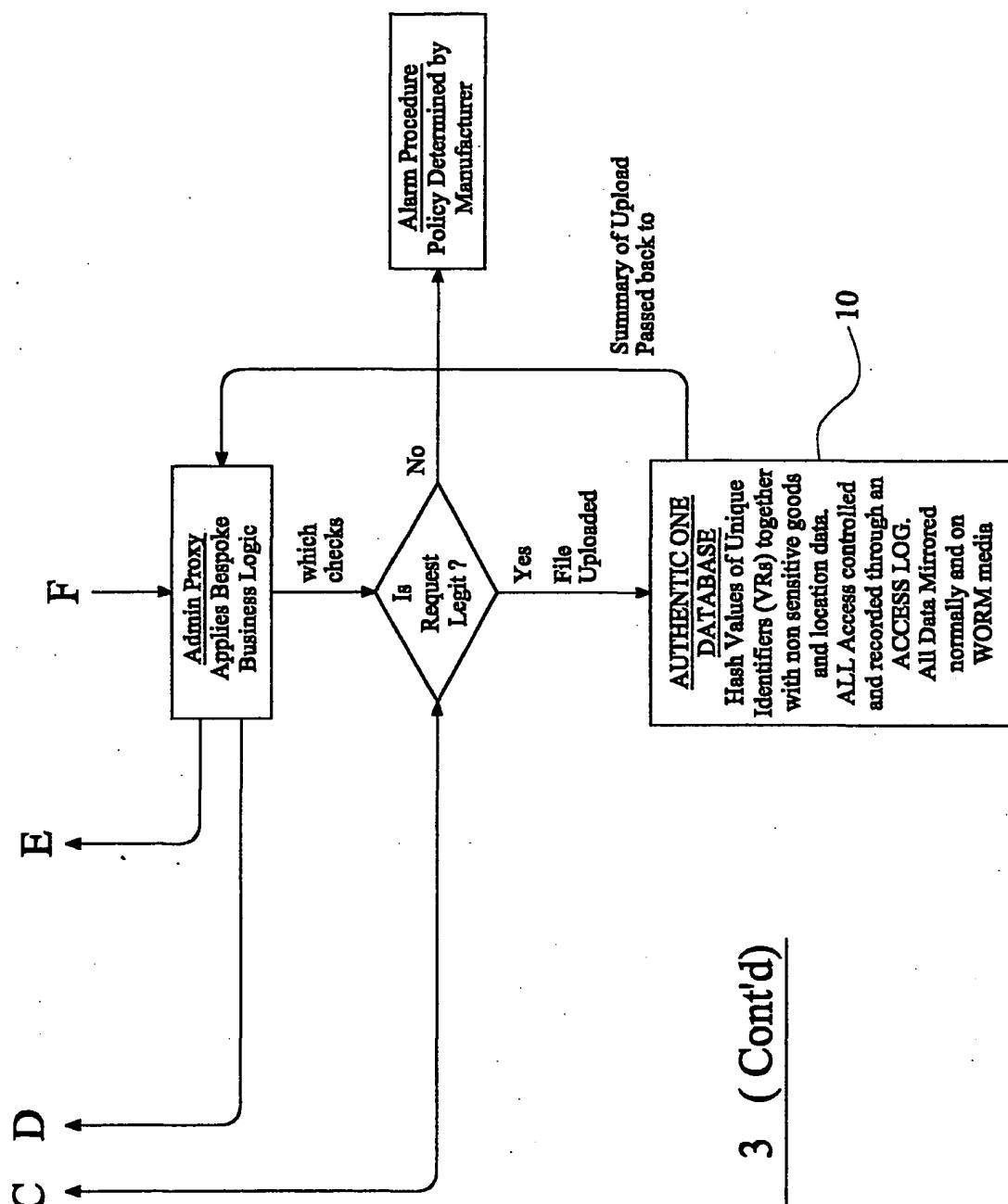
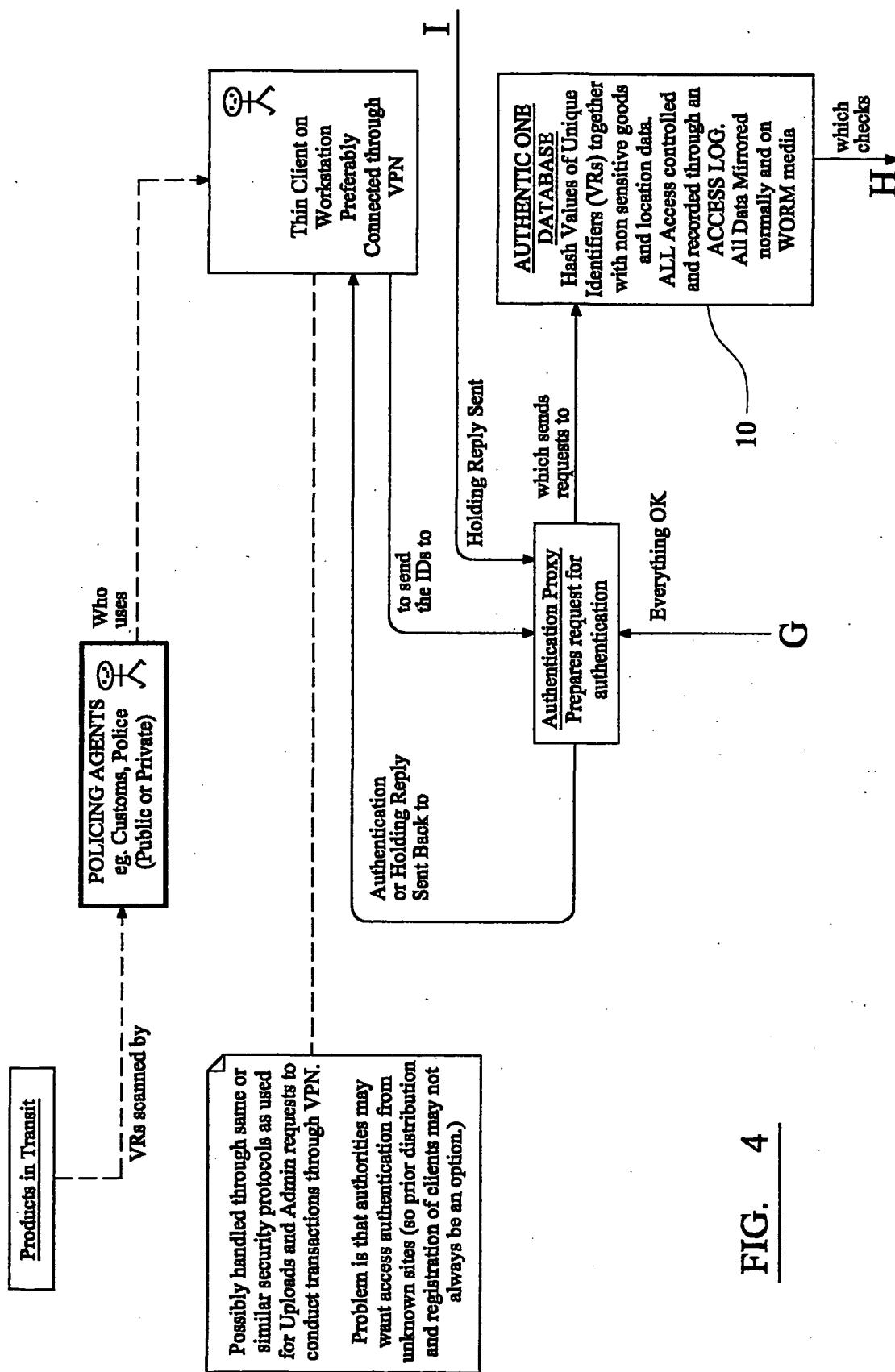
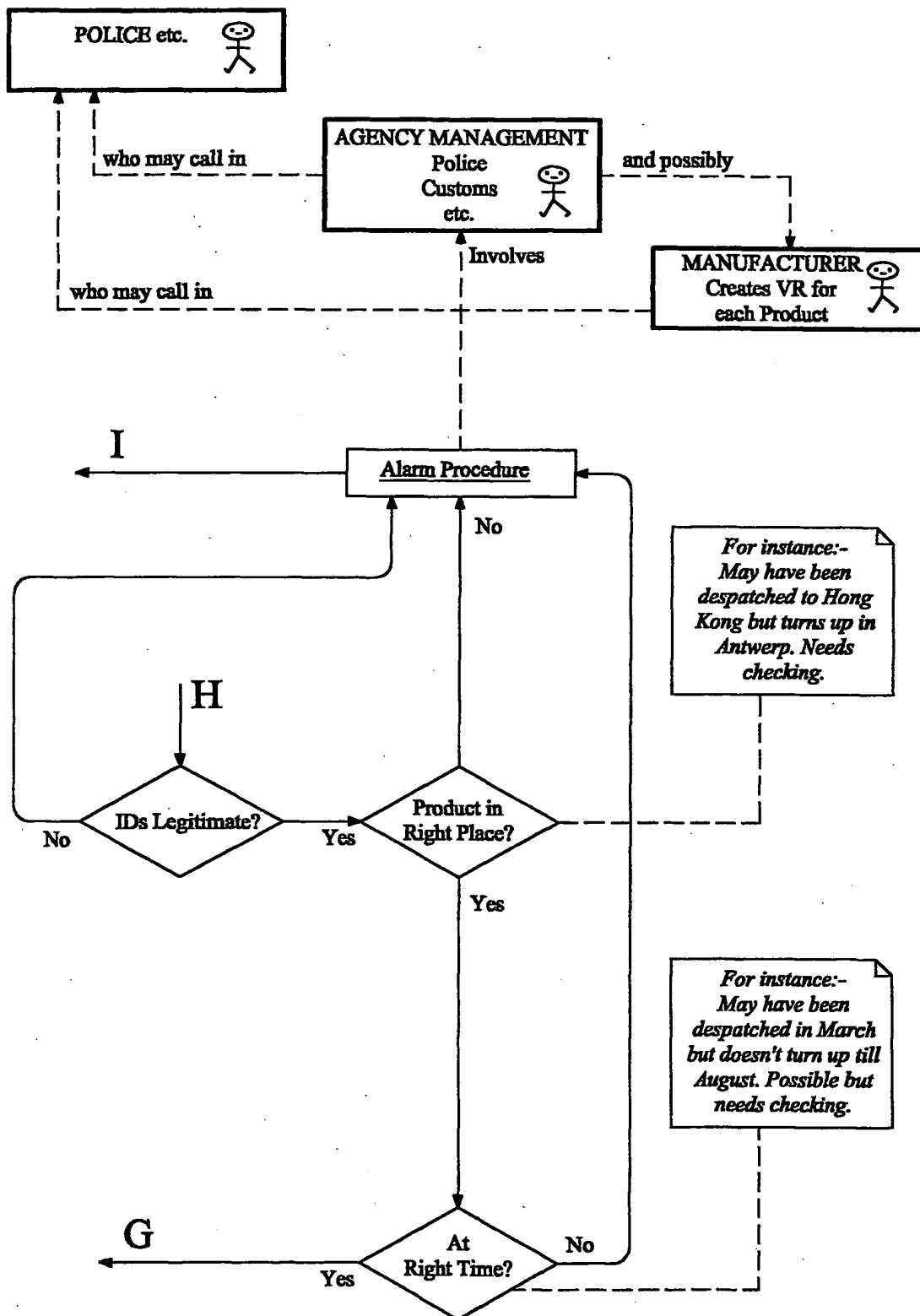


FIG. 3 (Cont'd)

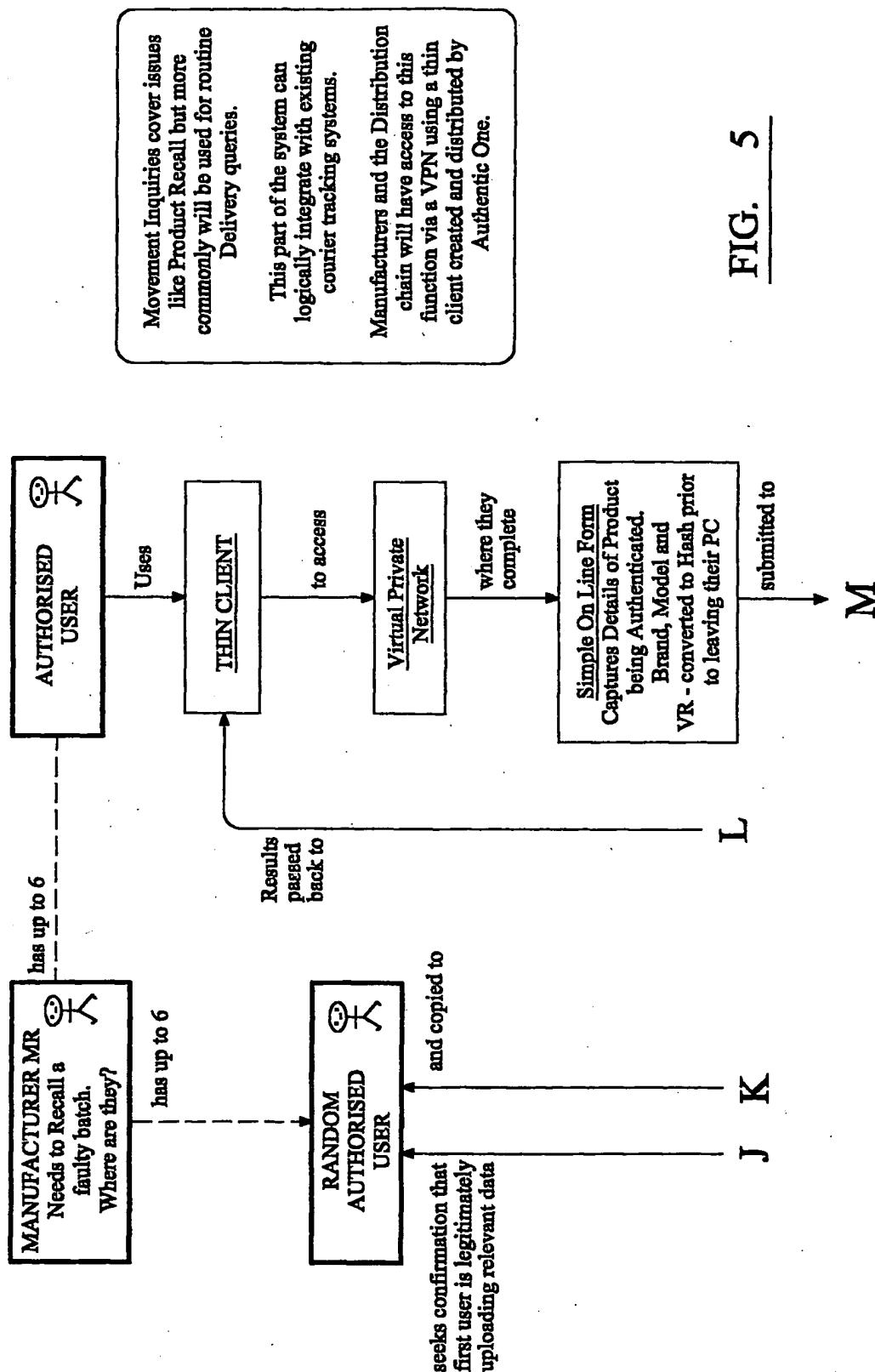
-6/9-

FIG. 4

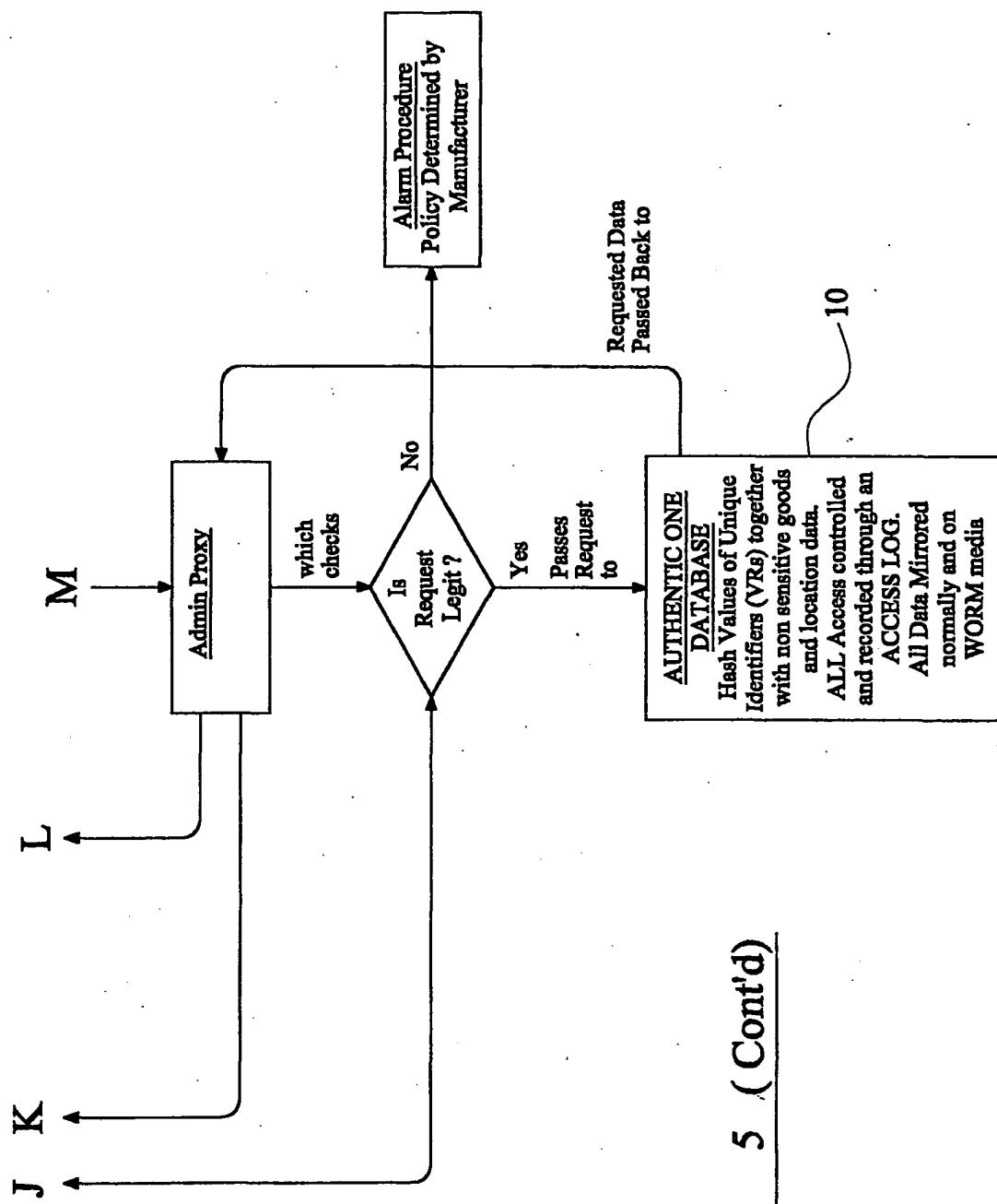
-7/9-

**FIG. 4 (Cont'd)**

-8/9-

**FIG. 5**

-9/9-

FIG. 5 (Cont'd)

INTERNATIONAL SEARCH REPORT

International Application No
PCT/GB 01/02772

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G07F7/08 D21H21/40

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 G07F D21H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 2 312 307 A (MOORE LEWIS JOHN) 22 October 1997 (1997-10-22)	1,19,20, 28
A	page 6, line 15 -page 8, line 17 page 11, line 14 -page 12, line 21 page 29, line 6 - line 31 page 53, line 18 -page 54, line 7	12,16
A	WO 00 23954 A (ELLIOTT DAVID WILLIAM ; ELLIOTT NICHOLAS PAUL (GB)) 27 April 2000 (2000-04-27) page 4, line 2 -page 8, line 11	1,2,12, 16,17, 19,20,28
A	WO 91 19614 A (TEL DEVELOPMENTS B V) 26 December 1991 (1991-12-26) page 3, line 31 -page 4, line 12 page 5, line 30 -page 6, line 3 page 9, line 6 - line 31	1-3,6-8, 15,19, 20,28
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search Date of mailing of the international search report

15 November 2001

22/11/2001

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel: (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Bocage, S

INTERNATIONAL SEARCH REPORT

I stional Application No
PCT/GB 01/02772

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 95 09947 A (ZORAB JAMES ;ATHEY GRAHAM (GB)) 13 April 1995 (1995-04-13) cited in the application page 3, line 4 -page 6, line 20	3-11

INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 01/02772

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
GB 2312307	A	22-10-1997	US CA US US US	6005960 A 2192745 A1 5895073 A 5917925 A 6246778 B1	21-12-1999 18-10-1997 20-04-1999 29-06-1999 12-06-2001
WO 0023954	A	27-04-2000	GB AU EP WO	2342743 A 6115299 A 1121670 A1 0023954 A1	19-04-2000 08-05-2000 08-08-2001 27-04-2000
WO 9119614	A	26-12-1991	NL AT CA DE DE DK EP ES JP JP WO US US	9001368 A 122968 T 2085113 A1 69110044 D1 69110044 T2 533829 T3 0533829 A1 2074277 T3 2793366 B2 6503762 T 9119614 A1 5719939 A 5354097 A	02-01-1992 15-06-1995 16-12-1991 29-06-1995 09-11-1995 24-07-1995 31-03-1993 01-09-1995 03-09-1998 28-04-1994 26-12-1991 17-02-1998 11-10-1994
WO 9509947	A	13-04-1995	GB AU DE DE EP WO US	2282345 A 7704994 A 69412629 D1 69412629 T2 0721529 A1 9509947 A1 5744000 A	05-04-1995 01-05-1995 24-09-1998 08-04-1999 17-07-1996 13-04-1995 28-04-1998